



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

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Confirmation N : 7924

Application No.: 09/848,713

DEC 19 2002

Examiner: not assigned

Filing Date: May 03, 2001

Technology Center 2100

Group Art Unit: 2166

Title: Method And Apparatus To Extract The Health Of A Service From A Host Machine

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

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Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231. Date of Deposit: Dec. 12, 2002

OR

☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____

Number of pages:
Typed Name: **Jerome Dechant**

Signature: Jerome Dechant

Respectfully submitted,

Doug Grumann et al.

By David A. Plettner

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Date: Dec. 12, 2002

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PATENT APPLICATION

FORM PTO-1449

ATTY. DOCKET NO.

APPLICATION NO.

CONFIRMATION NO.

10002681-1

09/848,713

7924



LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

APPLICANT

Doug Grumann, et al.

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FILING DATE

May 03, 2001

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2166

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	AA	US6,182,022	01/20/2001	Mayle, G. E., et al.	G06F 11/30	
	AB	US6,128,628	10/03/2000	Waclawski, A. C., et al.	G06F 17/30	
	AC	US6,044,335	03/28/2000	Ksendzov, S.	G06F 15/20	
	AD	US5,062,055	10/29/1991	Chinnaswamy, A. V., et al.	G06F 15/18	
	AE	US5,379,406	01/03/1995	Wade, G. T.	G06F 11/34	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	AF	EP0280020 A2	01/15/1988	Estes, M. W., et al.	G06F 15/74	
	AG					
	AH					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	AI	
	AJ	
	AK	
	AL	
	AM	

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Rev 6/01 (PTO 1449)